

07-05-01

A

Please type a plus sign (+) inside this box → ☒

PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 29810US3  
First Inventor Vincent L. Bobrosky  
Title Air Filtering Self-Propelled Upright Vacuum Cleaner  
Express Mail Label No. EL573568683US

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
- ☒ Specification [Total Pages 34]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
- Oath or Declaration [Total Pages 3]
  - ☐ Newly executed (original or copy)
  - ☒ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 18 completed)
    - ☐ **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☒ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - ☐ Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - ☐ CD-ROM or CD-R (2 copies); or
    - ☐ paper
  - ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
- ☐ English Translation Document (if applicable)
- ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
- ☒ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. Check for \$710.00
- ☒ Other: \_\_\_\_\_

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09,550,571

Prior application information:

Examiner \_\_\_\_\_

Group Art Unit: \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000,116 or ☐ Correspondence address below  
(Insert Customer No. or Attach bar code label here)

Name \_\_\_\_\_  
Address \_\_\_\_\_  
City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_  
Country \_\_\_\_\_ Telephone \_\_\_\_\_ Fax \_\_\_\_\_

Name (Print/Type) Aaron A. Fishman Registration No. (Attorney/Agent) 44682  
Signature [Signature] Date July 3, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

07/03/01  
09/09/01  
010668/60

PATENT

PEARNE & GORDON LLP  
526 Superior Avenue East  
Suite 1200  
Cleveland, Ohio 44114-1484  
(216) 579-1700

Attorney Docket No.: 29810US3

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir/Madam:

Transmitted herewith for filing by other than a small entity is the patent application of:

Inventor: Vincent L. Bobrosky, Richard J. Eisenmenger, John A. Huebsch,  
Christer T. Kontio, Joseph L. Mulcahy, William L. Reimer, and  
Timothy P. Toohill.

For: "AIR FILTERING SELF-PROPELLED UPRIGHT VACUUM  
CLEANER"

17 sheets of formal drawings are included.

Domestic priority under 35 USC §120, §121 is claimed from application Serial No. 09/550,571, filed April 17, 2000, which is a divisional of application Serial No. 08/958,762, filed October 25, 1997 (now U.S. Patent No. 6,085,382) which claims the benefit of U.S. Provisional Application No. 60/035,357, filed January 10, 1997.

"Express Mail" mailing label number EL573568683US

Date of Deposit 7-3-2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Amanda Wittine

Printed Name of Person Mailing Paper or Fee

Amanda Wittine

Signature of Person Mailing Paper or Fee

The present application is assigned to White Consolidated Industries, Inc. An assignment of the invention is of record in parent application Serial No. 08/958,762, filed October 25, 1997 (now U.S. Patent No. 6,085,382).

An Information Disclosure Statement is enclosed.

**CLAIMS AS FILED**

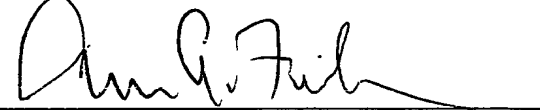
<u>For</u>	<u>Number</u>	<u>Rate</u>	<u>Fees</u>
Total claims in excess of 20:	0	18	\$0.00
Independent claims in excess of 3:	0	80	\$0.00
Multiple dependent claims, if any, add surcharge of \$270.00			\$0.00
Non-English Specification, add surcharge of \$130.00			\$0.00
		Basic Fee	<u>\$710.00</u>
Assignment Recordal Fee of \$40.00			\$0.00
		<b>Total Fee</b>	<b>\$710.00</b>

A check in the amount of the Total Fee calculated above is enclosed.

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 which may be required during the entire pendency of this application, or to credit any overpayment, to Deposit Account No. 16-0820, Order No. 29810US3.

Respectfully,

**PEARNE & GORDON LLP**

  
Aaron A. Fishman, Reg. No. 44682

Date: July 3, 2001